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1 **QUERCETIN PHYTOSOME® AS A POTENTIAL DRUG FOR COVID-19**

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20 **Running head:** Quercetin Phytosome® and COVID-19

21

22 **Abstract**

23 When looking for new antiviral compounds aimed to counteract the COVID-19, a disease caused by
24 the recently identified novel coronavirus (SARS-CoV-2), the knowledge of the main viral proteins
25 is fundamental. The major druggable targets of SARS-CoV-2 include 3-chymotrypsin-like protease

(3CLpro), papain-like protease (PLpro), RNA-dependent RNA polymerase, and spike (S) protein. Molecular docking studies have highlighted that quercetin, a natural polyphenol belonging to the flavonol class, inhibits 3CLpro, PLpro and S proteins. Biophysical techniques have then very recently confirmed that quercetin is reasonably a potent inhibitor of 3CLpro. The likely antiviral properties of quercetin are anyway challenged by its very poor oral bioavailability profile and any attempt to overcome this limit should be welcome. A phospholipid complex of quercetin (Quercetin Phytosome[®]) has been recently tested in humans to evaluate a possible improvement in oral bioavailability. After hydrolysis of the conjugated form (mainly glucuronide) of quercetin found in human plasma, the pharmacokinetics results have demonstrated an increased bioavailability rate by about 20-fold for total quercetin. It has been also observed that the presence of specific glucuronidase could yield free systemic quercetin in human body. Taking also into considerations its anti-inflammatory and thrombin-inhibitory actions, a bioavailable form of quercetin, like Quercetin Phytosome[®], should be considered a possible candidate to clinically face COVID-19.

Keywords: SARS-CoV-2; infectious diseases; pneumonia; nutraceuticals.

Introduction

Nevertheless the world has witnessed several viral epidemics over the past 20 years, including severe acute respiratory syndrome (SARS) coronavirus (SARS-CoV-1) in 2003, the influenza disease called H1N1 in 2009, and the middle east respiratory syndrome (MERS) coronavirus (MERS-CoV) in 2012, viral diseases continue to pose a serious threat to public health. As globally known, between the end of 2019 and the beginning of 2020 an outbreak of pneumonia caused by a novel coronavirus, named initially 2019-nCoV, was detected in Wuhan City (China). Due to its genetic sequence and to the similarity of its associated symptoms to those induced by SARS-CoV-

1, the novel coronavirus was then named SARS-CoV-2. To date (September 2020) SARS-CoV-2 has provoked more than 26 million of infections and several hundreds of thousands deaths.¹ Quercetin belongs to the flavonols class and cannot be produced by the human body. It is yellow colour and is poorly soluble in hot water but quite soluble in alcohol and lipids. It is one of the most abundant dietary flavonoids found in fruits (mainly citrus), green leafy vegetables as well as many seeds, buckwheat, nuts, flowers, barks, broccoli, olive oil, apples, onions, green tea, red grapes, red wine, dark cherries, and berries such as blueberries and cranberries. The highest concentrations of flavonols were found in vegetables such as capers, onions and broccoli, fruits such as apples, cherries, and berries, and drinks such as tea and red wine. Quercetin is widely used as a nutritional supplement and may be beneficial against a variety of diseases.² Some of the beneficial effects include cardiovascular protection, anticancer, antitumor, anti-ulcer, anti-allergy, anti-inflammatory activity, anti-diabetic, gastroprotective effects, antihypertensive, immunomodulatory, anti-infective, and thrombin-inhibitory actions. Noteworthy, quercetin has been widely described to exert a specific antiviral effect. Viruses which commonly respond to quercetin are adenovirus, herpes simplex virus, Japanese encephalitis virus, influenza virus and respiratory syncytial virus.²

Looking for new antiviral drugs by molecular docking

Despite hydroxychloroquine and chloroquine phosphate have shown apparently positive results^{3,4} and new antiviral medications such as lopinavir, remdesivir and umifenovir,⁵⁻⁷ also showing promising results, other potential molecules are currently under investigation, including lopinavir/ritonavir, nucleoside analogues, neuraminidase inhibitors, and the peptide EK1⁸. However, at present, no established antiviral therapies are available for beta-CoV.⁹ When looking for new antiviral compounds, knowledge of the main viral proteins is fundamental. The major druggable targets of SARS-CoV-2 include 3-chymotrypsin-like protease (3CLpro), papain-like protease (PLpro), RNA-dependent RNA polymerase, and spike (S) protein.¹⁰ The S

75 protein interacts directly with the human ACE2 receptor, allowing the virus to enter the cells.
76 Recently, in order to find new candidates expressing potential activity against these viral targets, a
77 number of studies reporting the use of computer modelling for screening purposes have been
78 published.^{11,12} Typically, these models determine the free energy of binding between a ligand and a
79 receptor.¹³ A lower binding free energy indicates a stronger ligand–receptor interaction. Although
80 obtaining comparable results via different modelling approaches can be a challenge, computer-
81 based molecular docking allows visualisation of the relative binding affinity of thousands of
82 molecules for the above-listed viral receptors.¹⁴

83 In addition to the speed and versatility of this method for rapidly finding a potent inhibitor of
84 SARS-CoV-2, another advantage of molecular docking screening is the reduction of the high costs
85 associated with physically screening the activity of large banks of natural substances.¹⁵ Compounds
86 that through this method demonstrate significant interaction with viral receptors can then be moved
87 onto cell-based assays to verify effectiveness and toxicity. Confirmatory results can then accelerate
88 testing in animals and clinical trials.

89 Recently, the virtual screening of 83 compounds commonly used in Chinese traditional medicine
90 for activity against the RNA-dependent RNA polymerase of SARS-CoV-2 identified theaflavin, an
91 antioxidant polyphenol, as a potential inhibitor.¹¹ Similarly, virtual screening of 115 compounds
92 used within Chinese traditional medicine highlighted 13 for further studies.¹² Some of these were
93 naturally occurring polyphenolic compounds such as quercetin and kaempferol, which have already
94 received considerable attention for the treatment of other disease types.¹⁶ In particular, the study
95 showed a significant inhibition by quercetin of 3CLpro and PLpro with a docking binding energy
96 corresponding to -6.25 and -4.62 kcal/mol, respectively.¹²

97 Moreover, Smith and Smith demonstrated for quercetin a theoretical, but significant, capability to
98 interfere with SARS-CoV-2 replication, with the results showing this to be the fifth best compound
99 out of 18 candidates.¹⁷ In fact, a reasonable target for structure-based drug discovery was identified

100 to be the disruption of the viral S protein–ACE2 receptor interface. Once again, a computational
 101 docking model was used to identify small molecules that were able to bind to either the isolated
 102 viral S protein at its host receptor binding region or to the S protein–human ACE2 receptor
 103 interface, to potentially limit viral recognition of host cells and/or to disrupt host–virus interactions.
 104 Among the natural compounds tested, quercetin was identified as being between the top scoring
 105 ligands for the S protein:ACE2 receptor interface, further confirming its role as a promising
 106 antiviral agent that should be further investigated. Other Authors have demonstrated similar results
 107 for quercetin and have revealed that this molecule binds with the ACE2-S complex with low
 108 binding free energy, likely through Arg403 and to Ser494 of the S protein and to His34 of ACE2
 109 protein.^{18,19} An in vitro molecular docking study was also performed to analyse the probability of
 110 molecular docking between quercetin and viral protease. Proteases play essential roles in viral
 111 replication, and specifically, 6LU7 was determined to be the main protease (Mpro) found in SARS-
 112 CoV-2. Quercetin formed H-bonds with the 6LU7 amino acids His164, Glu166, Asp187, Gln192,
 113 and Thr190, with all of the H-bonds interacting with amino acids in the virus Mpro active site.²⁰
 114 More recently, by screening of a chemical library consisting of about 150 compounds, quercetin
 115 was identified as reasonably potent inhibitor of SARS-CoV-2 3CLpro with a K_i of about $7\mu\text{M}$.²¹
 116 Nevertheless, three years have passed since the potential role for quercetin were obtained and no
 117 cell-based assay of antiviral activity has been performed.

118

119 **Quercetin Phytosome®**

120 As the low solubility (0.01 mg/mL)²² and reduced absorption of quercetin limits its practical use²³
 121 considerable effort has been directed towards overcoming those limitations. Different delivery
 122 systems to enhance the water solubility of quercetin and its bioavailability have been developed,
 123 including systems based on liposomes, nanoparticles, nano-emulsions, and micelles. The
 124 encouraging results of those studies, mainly performed in vitro and evaluated in vivo in rodent

species, support the hypothesis that increasing the water solubility of quercetin could enhance its oral bioavailability. Recently, quercetin formulated with sunflower lecithin in a 1:1 weight ratio with a HPLC-measured total quercetin content of approximately 40% (Quercetin Phytosome®) was tested in humans to evaluate a possible improvement in bioavailability. The results clearly showed the attainment of very high plasma levels of quercetin, up to 20 times greater than those usually obtained following a dose of quercetin, without any notable side effects.²⁴ Quercetin, when administered orally, undergoes a series of metabolic degradation steps²⁵ at different levels of the gastrointestinal system: (a) interactions with salivary gland proteins to form aggregates in the mouth;²⁶ (b) degradation to phenolic acids (for example, protocatechuic acid) with limited absorption in the stomach at low pH, as well as extensive glucuronidation, sulfation, and methylation at the intestinal and hepatic levels;²⁷ and (c) reconversion of the resulting gut glucuronide derivatives to quercetin by enzymes present in the microbiota.²⁸ Regardless of the microbiota action, quercetin-3-O- β -D-glucuronide is the major metabolite of quercetin circulating in the bloodstream.²⁴

139

140 **Deconjugation of quercetin glucuronide**

Quercetin is known to exert an antihypertensive role in rats and this effect was prevented by β -glucuronidase inhibitors.²⁹ These data strongly suggest that the sequence of liver–intestine conjugation and vascular deconjugation processes is required for this effect of quercetin. Indeed, in rats, quercetin glucuronide rapidly disappears from the plasma and its fast decay is not compatible with renal excretion, suggesting that quercetin glucuronide is first metabolised and then accumulates in tissues. Moreover, deconjugation is proposed to occur intracellularly as these enzymes are located in the lysosomes. Therefore, the aglycone is formed within the vessel and probably in the cytosol of endothelial cells where it is believed to interact with its possible targets. In this regard, deconjugation of the glucuronide metabolites of the flavonoids by increased β -

glucuronidase activity at the site of inflammation has been suggested as a plausible mechanism for the protective effects of flavonoids *in vivo*.³⁰ Thus, glucuronidation protects quercetin from metabolism and helps to carry the flavonoid to the tissues where the free aglycone is released.³¹ Of course, polymorphisms of UDP-glucuronosyltransferases (encoded by the UGT1 and UGT2 loci), which are common in humans,³² and changes in the β -glucuronidase activity, could result in a variable response to quercetin. It is possible to speculate that the same cytolytic effect exerted by the virus on endothelial cells³³ should paradoxically also free quercetin, allowing it to interact with viral protein targets (Figure 1).

158

159 **Multifaceted quercetin and its clinical relevance**

On the basis of the results obtained by computational methods on molecular docking and by biophysical characterization of the structural stability and the catalytic activity of 3CLpro from SARS-CoV-2, it is anticipated that quercetin could have an effect on SARS-CoV-2 by interacting with 3CLpro, PLpro, and/or S protein. In addition to these targets, other findings could prompt us to consider quercetin as being endowed with a general, that is, not specific only for CoV, antiviral role.³⁴ In any case, based on the strong inflammatory cascade and the blood clotting phenomena triggered during SARS-CoV-2 infection, the multifaceted aspect of quercetin, which has been well described as exerting both anti-inflammatory (quercetin dose-dependently decreases the mRNA and protein levels of ICAM-1, IL-6, IL-8, and MCP-1) and thrombin-inhibitory actions, should be taken into consideration.^{35,36}

To date, a considerable amount of data has been accumulated describing the potential antiviral role (among others) of quercetin. Indeed, several studies, using computational models and *in vitro* and *in vivo* assays, would seem to confirm this. At the present time, however, the critical lack of high-quality clinical data must be highlighted, although some empirical and/or case-control clinical evaluations would appear encouraging. A randomised study performed a decade ago enrolled 1002

175 adult subjects affected by viral infections of the upper respiratory tract; this showed that quercetin
176 administered at very high dosages for 12 weeks reduced the days of illness in middle-aged and
177 elderly subjects.³⁷ More recently, an empirical study conducted at a Wuhan hospital showed that an
178 approach where, in addition to conventional therapies, patients were treated with traditional Chinese
179 medicine remedies, including herbs with a high quercetin content, was medically safe, free from
180 particular side effects additional to those obtained with the conventional approach alone, and was
181 able to improve the symptoms of patients with COVID-19.³⁸

182 Finally, a recent our review shows that quercetin may have a well-defined role in the treatment of
183 COVID-19, because shows pharmacological activity such as antiviral, anti-atopic, pro-metabolic,
184 and anti-inflammatory effects.³⁹

185

186 **Conclusion**

187 In the recent past, there have been several pandemics. Within the context of globalisation, some of
188 these pandemics have truly raised the global risk to humankind. The COVID-19 pandemic is the
189 latest of these and is, so far, completely unresolved. In the meantime, as we await the development
190 of an effective vaccine, researchers worldwide must focus all their efforts on selecting possible
191 effective treatments, bearing in mind that the plant kingdom supplies chemical skeletons that, since
192 ancient times, have provided humans with “drugs”.

193 A number of data seems to suggest quercetin as a potential molecule candidate for an anti-COVID-
194 19 and recommends the execution of in vitro tests able to reveals if the molecule is endowed with a
195 real and SARS2 viral effect and a case–control clinical study with the aim of realising its possible
196 efficacy within the context of this disease. On the basis of its poor pharmacokinetics profile, any
197 galenic formulation aimed to improve its rate of absorption should be considered important. At our
198 knowledge, the Phytosome form of quercetin could be a possible candidate. Our group is indeed
199 currently investigating both the *in vitro* and the clinical effect in COVID-19 patients of Quercetin

Phytosome® at University of Oxford (UK) and Liaquat University of Medical and Health Sciences (LUMHS), Jamshoro (Pakistan), respectively. The clinical trial is currently under application on www.clinicaltrial.gov.

Conflict of interest

The authors have no relevant conflict of interests.

Contributions section

All authors equally contributed in writing the manuscript. All authors read and approved the final version of the manuscript.

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Figure 1 Hypothetical pathways of the quercetin glucuronide and free-quercetin inside a coronavirus-infected host

Thanks to ACE2 protein coronavirus enters inside the cell where it replicates until provoking cell lysis and death (A). Glucuronidases of the host free quercetin from quercetin glucuronide allowing it to inhibit viral proteases inside host's cells (B). Cell lysis provoked by coronavirus frees quercetin outside host's cells allowing it to limit the contact between S-protein and ACE2 and therefore inhibiting the new entry of the virus (C).

